

ISACA Chapter Annual Report

The Membership Board designed this Chapter Annual Report for all ISACA chapters to complete and return to ISACA International. This process is meant to be as painless a task as possible, while ensuring that good governance is in place and that all chapters support and protect the ISACA brands. We know chapter leaders are volunteers and are very busy, therefore this report is designed to be succinct, easy to complete, and multi-functional, eliminating the need for complete of separate chapter award entries.

Completing and submitting this report within 30 days of your Annual General Meeting will enter your chapter in the K. Wayne Snipes Chapter Recognition Award judging process. Submitting copies of your newsletter with this report will also enter your chapter into the "Best Newsletter Award" competition. Since the report is to be completed at the time of your chapter's AGM, it can also serve as a reporting mechanism to the members of your chapter.

Chapter Name: Minnesota

Chapter Number: 007

Reporting Period: June 1, 2006 to May 31, 2007

Due date: June 18, 2007

Governance Information

Date of Last AGM: May 17, 2007	Date of Last Election: May 17, 2007
Number of Chapter Board Meetings: 13	
Does your chapter have a privacy policy posted on your chapter web site? (Visit www.isaca.org to view the ISACA International privacy policy.)	
<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
Date of Latest Audited Accounts	
From June 1, 2005 To May 31, 2006	
Does your chapter require board members to sign a "Willingness to Serve" form?	
<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
<i>Form is reviewed at turnover meeting but signatures were not required, but signatures are being considered.</i>	
Please attach a copy of your chapter's most recent auditor certificate, verification letter or inspection of accounts letter.	
Prepared By <input type="checkbox"/> Paid Third Party <input checked="" type="checkbox"/> Board Appointed Volunteer	

Your Current (Incoming) Chapter Board

Please show if any board members have a financial relationship (e.g. paid lecturing, administrative support, etc.) with the chapter and attach details in a separate memorandum. ***This does not include reimbursement of out-of-pocket expenses, speakers' gifts under US\$250 in value, and similar minor transactions.***

Position	Name	E-mail	Business Phone	Financial Relationship if over US\$250?
President	Ted Wallerstedt	walle160@umn.edu	612-626-0097	None
Vice President – Elect	Tom Tisthammer	Thomas.Tisthammer@gmacrfc.com	952-857-8549	None
Director	Eric Wion	eric.wion@state.mn.us	651-296-8133	None
Director	Chris Buse	chris.buse@state.mn.us	651-201-1200	None
Director	Mark Mathison	mark.mathison@state.mn.us	651-201-2792	None
VP of Administration	Linda Dawson	LFDawson1@mmm.com	651-737-2299	None
VP Education	Pete Stack	Pete.Stack@hudson.com	612-599-0252	None
VP Academic Relations	Danielle Stariha	danielle.stariha@gandermountain.com	651-325-4509	None

VP Roundtables	Matt Hynes	Matt.Hynes@ey.com	612-371-6344	None
Treasurer	Robert Sakkinen	Robert.J.Sakkinen@wellsfargo.com	612-667-3026	None
Secretary	Mike Wilm	Michael.J.Wilm@wellsfargo.com	612-667-6723	None
Other Board Members				
<i>Position</i>	<i>Name</i>	<i>E-mail</i>	<i>Business Phone</i>	<i>Financial Relationship over US\$250?</i>
CISA Coordinator				None
CISM Coordinator				None
Communication	John Kelcher	John.Kelcher@state.mn.us	651-296-8027	None
Communications	Neal Dawson	neal.dawson@state.mn.us	651-201-2790	None
Audit Committee	Brad Webb	brad.webb@wellsfargo.com	612-667-9233	None

Chapter Statistics

<p>Total number of chapter members at time of your most recent Annual General Meeting (AGM) 697</p> <p>Newsletter Produced? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>If yes, please attach the last two issues of your newsletter to this report.</p> <p>We generally communicate to our members with emails and direct them to our website.</p> <p>Newsletter Method of Distribution (check all that apply)</p> <p>E-mail <input type="checkbox"/> Regular Mail <input type="checkbox"/> Posted on Website <input type="checkbox"/></p> <p>If other please specify:</p> <p>Frequency of Newsletter distribution?</p> <p>Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/></p> <p>If other, please specify:</p>	<p>Current Calendar Year Chapter Dues Amount</p> <p>US \$35</p> <p>Does your chapter have a website?</p> <p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>If yes, what is the URL? http://www.mnisaca.org/</p> <p>How often is your web site updated?</p> <p>Weekly <input checked="" type="checkbox"/> Monthly <input checked="" type="checkbox"/> Quarterly <input type="checkbox"/></p> <p>If other please specify: Minimum monthly, more as needed</p> <p>Are your bylaws posted on your web site?</p> <p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>Does your web site include job postings?</p> <p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>
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CISA and CISM Statistics

<p>Did you run a CISA Promotion/Marketing Event in the past year?</p> <p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>Comments: We held a CISA recognition event during our annual meeting and boat cruise on 5/17/07. We also promoted the CISA at an annual student accounting and auditing conference on 9/28/06 and at our second annual joint security conference with ISSA, on May 22 & 23, 2007.</p>	<p>Did you run a CISM Promotion/Marketing Event in the past year?</p> <p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>Comments: We held a CISM recognition event during our annual meeting and boat cruise on 5/17/07. We also promoted the CISM at our second annual joint security conference with ISSA on May 22 & 23, 2007.</p>
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If Yes, how many people attended your chapter's CISA Promotion Event? **We had 55 people attend our Annual Boat Cruise and CISA/CISM Recognition Event. The student conference attracts nearly 700 employers, faculty, and students in Minnesota and Western Wisconsin. The second annual security conference attracted over 400 security professionals and exhibitors.**

Did you run a CISA review course in the past year?

Yes No

Comments: **We held two review classes: Fall 2006 and Spring 2007**

If Yes, how many people attended your chapter's CISA review course?

Fall 2006: 10

Spring 2007: 12

If Yes, how many people attended your chapter's CISM Promotion Event? **We had 55 people attend our Annual Boat Cruise and CISA/CISM Recognition Event. The second annual security conference attracted over 400 security professionals and exhibitors**

Did you run a CISM review course in the past year?

Yes No

Comments: **We held two review classes: Fall 2006 and Spring 2007.**

If Yes, how many people attended your chapter's CISM review course?

Fall 2006: 3

Spring 2007: 3

(Continued on Next Page)

Chapter Event* Summary (attach additional sheets if necessary):

Date	Target Audience (assurance, security, IT governance)	Total attend	CPEs	Topic and Speaker (if multi-track seminar, say "multi-track")	Comments	Member Fee	Non Mem Fee	City or cities of event	Online Registration ?
8/14 & 8/15/06	Assurance	18	16	Auditing Wireless Security Speaker: Mr. Jamie Pole, CISA, CFSA	Training and CPE's provided by SecureIT	\$550	\$650	Roseville, MN	Yes
8/14/06	Assurance	60	2	IT Technical Roundtable Spreadsheet Compliance - Impact on SOX and IT Controls Speaker: Garth Landers, Mobius Management Systems	Free for ISACA and IIA members	0	0	Eden Prairie, MN	Yes
9/21/06	Assurance	23	2	IT Audit Leaders Roundtable Identifying, Hiring, Training and Retaining IT Audit Talent	Free for IT Audit Leaders	0	0	Roseville, MN	yes
9/21/06	Security	35	2	IT Technical Roundtable Top 10 Web Security Hacks Speaker: Monica White, Watchfire	Free for ISACA and IIA members	0	0	Roseville, MN	yes
9/21/06	All	29	0	Chapter Social Event		0	0	Roseville, MN	yes
9/28/06	Assurance	>500	N/A	9th Annual Accounting & Auditing Student Conference: (http://www.studentconf.org) Multi-track	Joint sponsorship with: Institute of Internal Auditors (IIA) Minnesota Association of Public Accountants (MAPA) Minnesota Government Finance Officers Association (GFOA) Minnesota Society of CPAs (MNCPA)	0	0	Minneapolis, MN	yes
10/03 & 10/04/06	Assurance & Security	25	16	Auditing Websites and Applications Speaker: Mr. Jamie Pole, CISA, CFSA	Training and CPE's provided by SecureIT	\$550	\$650	Roseville, MN	Yes
10/18/06	Assurance	38	2	IT Technical Roundtable Auditing Wireless Security	Free for ISACA and IIA members	0	0	Minneapolis, MN	yes

				Dan Thormodsgaard, University of Minnesota					
10/24, 10/28, 10/31, 11/4, 11/7, 11/11, 11/14	Assurance	10		CISA Review Course Multi-Session		300	350	Metro State University, St. Paul Campus	yes
10/26, 11/2, 11/9, 11/16	Security	3		CISM Review Course Multi-Session		300	350	Metro State University, St. Paul Campus	yes
11/16/06	Security	42	2	IT Technical Roundtable Building Security into your SDLC Methodology Speaker: Arun Kothanath, Integral Business Solutions	Free for ISACA and IIA members	0	0	Minneapolis, MN	yes
12/19/06	All	36	2	IT Technical Roundtable Influenza Pandemic: Business Continuity Threat, Impact, & Response Speaker: Leslie Sweet, RSM McGladrey	Free for ISACA and IIA members	0	0	Minneapolis, MN	Yes
1/18/07	Assurance	39	2	IT Audit Leaders Roundtable – IT Issues for Sarbanes-Oxley Speaker: David Hartley, CPA, CISA, CITP, St. Louis Office of Protiviti	Free for IT Audit Leaders	0	0	Bloomington, MN	Yes
1/18/07	Assurance	50	2	Technical Roundtable – Data Privacy Assurance in the Application Testing Environment Speaker: Jerry Lobenstein, Compuware Corporation	Free for ISACA and IIA members	0	0	Bloomington, MN	Yes
1/18/07	All	36	0	Chapter Social Event		0	0	Bloomington, MN	yes
2/15/07	IT Governance	45	2	Technical Roundtable – The Convergence of Enterprise Risk & Regulatory Controls Speaker: Collin Wayne Buechler, Shavlik Technologies	Free for ISACA and IIA members	0	0	Eagan, MN	Yes
2/27 –	Assurance	31	24	IT Audit Boot Camp	Training and CPE's	750	850	Roseville, MN	yes

3/1/07				Speaker: Ms. Cereda Amos, CISA, CFSA	provided by SecureIT				
3/15//07	Assurance	35	2	IT Audit Leaders Roundtable – Controlling Data Leakage Speaker: Robert L. Foster, Vincera, Inc	Free for IT Audit Leaders	0	0	Bloomington, MN	Yes
3/15//07	Assurance	37	2	Technical Roundtable – Auditing IBM Mainframes Speaker: Multi-panel	Free for ISACA and IIA members	0	0	Bloomington, MN	Yes
3/15//07	All	35	0	Chapter Social		0	0	Bloomington, MN	Yes
3/26 – 3/30/07	Assurance, Security			ISACA Training Week - Fundamentals of IT Auditing - Information Security Management - Auditing Windows 2003, Vista and Active Directory	International ISACA Training Event	2,100	2,300	Minneapolis, MN	Yes
4/12, 4/21, 4/26, 5/5, 5/10, 5/19, 5/24	Assurance	12		CISA Review Course Multi-Session		300	350	Bloomington, MN	yes
4/19/07	Assurance	58	2	Technical Roundtable – Strategies in Continuous Control Monitoring Speaker: Steve Biskie, Hudson	Free for ISACA and IIA members	0	0	Minneapolis, MN	Yes
5/1, 5/8, 5/15, 5/29, 5/31/07	Security	3		CISM Review Course Multi-Session		300	350	Bloomington, MN	yes
5/17//07	Assurance	36	2	IT Audit Leaders Roundtable – Audit Risk Related to Third Party Providers Speaker: Multi-panel	Free for IT Audit Leaders	0	0	Minnetonka, MN	Yes
5/17//07	IT Governance	53	2	Technical Roundtable – IT Governance & GRC Solutions Speaker: Monte Mayer, Oracle	Free for ISACA and IIA members	0	0	Minnetonka, MN	Yes
5/17//07	All	55	0	Annual Meeting and Boat Cruise with CISA/CISM Recognition		0	0	Minnetonka, MN	Yes

* Does not include Chapter Board meetings

Chapter Involvement

		Comments/Details
Is your chapter involved in the CISA Item Writing Program?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	
Is your chapter involved in the CISM Item Writing Program?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	
Does your chapter contribute funds to ITGI?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	\$4,000 at the 2007 Global Leadership Conference in Grapevine, TX on April 21 & 22, 2007
Is your chapter involved in other research work?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	
Is your chapter involved in actively promoting student membership?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	At the Annual Accounting & Auditing Student Conference.
Is your chapter involved in the University Advocate Program?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	We have one advocate, but plan to pursue this more.
Does your chapter have new member and retention initiatives in place?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Personal letters are sent to all new members and they are specifically invited to all events. We hold three social events per year and survey prior members that did not renew their membership.

Chapter Involvement with Other Professional Organizations

Organization	Details
Accountancy Body	Work with CPA, MAPA, GFOA, and IIA to hold an annual Accounting & Auditing Student Conference.
Computer Society	
DPMA	
IIA	IIA Twin Cities Chapter – Hold an annual Accounting & Auditing Student Conference, have an agreement with them permitting their members to attend ISACA Technology roundtables
ISSA	Partnered with the Minnesota ISSA to jointly sponsor, with other security organizations, a Secure360 conference in St. Paul, MN.
Other	In addition with the Minnesota ISSA, we partnered with BCPA to jointly sponsor a Secure360 conference in St. Paul, MN.

How has the chapter become more valuable to its members?

1	Provided a variety of relevant roundtables and training seminars to technical members.
2	Provided a variety of relevant audit leader roundtables to audit management.
3	Provided social events, which encourage networking among our members.
4	Improved our website to provide our members with more useful and timely information, and developed a better means for event registrations.

Please list any special achievements of which your chapter is especially proud: We created a database for administrative purposes and provided a central repository of chapter leader resources, available from a secure

section of our chapter's website. This allows us to post board minutes and financial information that is shared by all board members.

Also, our Accounting and Auditing Student Conference is something that we believe should be a national model. We host this event jointly with 5 other professional organizations (IIA, CPA Society, IMA, MAPA, and GFOA). Our goal is to offer a forum where current and potential students can learn about the vast array of accounting and auditing career opportunities. Now in our 10th year, the event draws students and faculty from approximately 35 colleges and universities. We provide a forum where these students and faculty can network with a wide array of exhibitors from both the public and private sector, hear interesting speakers, and interact with recently hired graduates in closed-door breakout sessions. We had over 50 companies in the Twin Cities exhibited so that college students could learn about exciting career opportunities. Last year, we had over 500 students attend the event. Check it out at www.studentconf.org.

We, along with the ISSA and the BCBP, have also been instrumental in bring the second annual Secure 360 conference to the upper Midwest. This conference brings more than 400 security professionals to the Twin Cities, attracting top sponsors such as Microsoft, Computer Associates, and CISCO to our event.

Please list any significant issues within your chapter that you would like to bring to the attention of ISACA International:

Please indicate any financial marketing assistance received from ISACA Headquarters in the past year:

We did not receive any marketing assistance during the past chapter year.

Please list any recommendations or questions your chapter has for ISACA International / Key Boards:

1. Send membership dues income to chapters via ACH instead of wire transfer. It's cheaper.
2. Provide centralized web hosting for the chapters, similar to what the IIA does.
3. Provide a standard Chapter Management System (CMS) for managing the chapter (tracking membership, events, accounting, etc.).
4. Ideally, would like to be able to electronically take the CISA/CISM exams at a certified testing facility (i.e., Sylvan Learning Center) at any time and get the results immediately.

Please attach the following pages to completely answer the items on this form:

- | | |
|--|--|
| 1) Latest auditor's certificate or verification/ inspection of accounts letter | 3) Newsletter (if produced) |
| 2) Financial relationship details (if any) | 4) Any additional pages of information |

Respectfully submitted by:

Name: Mark Mathison

Chapter Position Held: Director, Immediate Past President

Date: June 16, 2007

For ISACA International use only:

Date received: