

ISACA Chapter Annual Report

The Membership Board designed this Chapter Annual Report for all ISACA chapters to complete and return to ISACA International. This process is meant to be as painless a task as possible, while ensuring that good governance is in place and that all chapters support and protect the ISACA brands. We know chapter leaders are volunteers and are very busy, therefore this report is designed to be succinct, easy to complete, and multi-functional, eliminating the need for complete of separate chapter award entries.

Completing and submitting this report within 30 days of your Annual General Meeting will enter your chapter in the K. Wayne Snipes Chapter Recognition Award judging process. Submitting copies of your newsletter with this report will also enter your chapter into the "Best Newsletter Award" competition. Since the report is to be completed at the time of your chapter's AGM, it can also serve as a reporting mechanism to the members of your chapter.

Chapter Name: Minnesota

Chapter Number: 007

Reporting Period: June 1, 2008 – May 31, 2009

Due date: June 21, 2009

Governance Information

Date of Last AGM: May 21, 2009	Date of Last Election: May 21, 2009
Number of Chapter Board Meetings: 12	
Does your chapter have a privacy policy posted on your chapter web site? (Visit www.isaca.org to view the ISACA International privacy policy.)	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Date of Latest Audited Accounts	
From June 1, 2007 To May 31, 2008	
Does your chapter require board members to sign a "Willingness to Serve" form?	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<i>Form is reviewed at turnover meeting but signatures were not required, but signatures are being considered.</i>	
Please attach a copy of your chapter's most recent auditor certificate, verification letter or inspection of accounts letter.	
Prepared By <input type="checkbox"/> Paid Third Party <input checked="" type="checkbox"/> Board Appointed Volunteer	

Your Current (Incoming) Chapter Board

Please show if any board members have a financial relationship (e.g. paid lecturing, administrative support, etc.) with the chapter and attach details in a separate memorandum. ***This does not include reimbursement of out-of-pocket expenses, speakers' gifts under US\$250 in value, and similar minor transactions.***

Position	Name	E-mail	Business Phone	Financial Relationship if over US\$250?
President	Mike Wilm	Michael.J.Wilm@wellsfargo.com	612-667-6723	No
Vice President – Elect	Matt Hynes	Matt.Hynes@ey.com	612-371-6344	No
Director	Ted Wallerstedt	walle160@umn.edu	612-626-0097	No
Director	Linda Dawson	LFDawson1@mmm.com	651-737-2299	No
Director	Tom Tisthammer	Thomas.Tisthammer@gmacescap.com	952-857-8549	No
VP of	Mark Mathison	mark.mathison@state.mn.us	651-201-2792	No

Administration				
VP Education	Jordan Serre	Jordan.Serre@ey.com	612-371-6864	No
VP Academic Relations	Reginald Abraham	rabraham@saspoint.com	612-605-6035	No
VP Roundtables	Josh Wieland	Josh.Wieland@ey.com	612-371-6967	
Treasurer	Richard Spieker	Richard.J.Spieker@wellsfargo.com	612-667-6920	No
Secretary	Yvonne Smith	yvonne.smith@mysticlake.com	952-403-5364	No

Other Board Members

<i>Position</i>	<i>Name</i>	<i>E-mail</i>	<i>Business Phone</i>	<i>Financial Relationship over US\$250?</i>
CISA Coordinator	Dennis Archambault	darchamb@travelers.com	651-310-7911	No
CISM Coordinator	Dennis Archambault	darchamb@travelers.com	651-310-7911	No
Communication	Walter Zuniga	walterzf@yahoo.com	952-278-3002	No
Audit Committee	Brad Webb	brad.webb@wellsfargo.com	612-667-9233	No

Chapter Statistics

Total number of chapter members at time of your most recent Annual General Meeting (AGM) 964	Current Calendar Year Chapter Dues Amount US \$35
Newsletter Produced? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, please attach the last two issues of your newsletter to this report. We communicate to our members with emails at least monthly and direct them to our website. Newsletter Method of Distribution (check all that apply) E-mail <input type="checkbox"/> Regular Mail <input type="checkbox"/> Posted on Website <input type="checkbox"/> If other please specify: N/A Frequency of Newsletter distribution? Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/> If other, please specify: N/A	Does your chapter have a website? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, what is the URL? http://www.mnisaca.org/ How often is your web site updated? Weekly <input checked="" type="checkbox"/> Monthly <input checked="" type="checkbox"/> Quarterly <input type="checkbox"/> If other please specify: Minimum monthly, more as needed Are your bylaws posted on your web site? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Does your web site include job postings? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>

CISA and CISM Statistics

Did you run a CISA Promotion/Marketing Event in the past year?

Yes No

Comments: **We promoted the CISA at the CISA/CISM recognition event at our May annual meeting. We also promoted it at our annual student accounting and auditing conference on 9/30/08 and at our second annual Secure 360 conference we sponsor jointly with six other security assurance organizations on May 12 & 13, 2009.**

If Yes, how many people attended your chapter's CISA Promotion Event? **Our annual meeting had 44 participants. The student conference attracts over 700 employers, faculty, and students in Minnesota and Western Wisconsin. The third annual security conference attracted 635 security professionals and 69 exhibitors.**

Did you run a CISA review course in the past year?

Yes No

Comments: **We held two review classes: Fall 2008 and Spring 2009**

If Yes, how many people attended your chapter's CISA review course?

Fall 2008: 9

Spring 2009: 9

Did you run a CISM Promotion/Marketing Event in the past year?

Yes No

Comments: **We promoted the CISM at an annual CISA/CISM recognition event at our May annual meeting. We also promoted it at our student accounting and auditing conference on 9/30/08 and at our second annual Secure 360 conference we sponsor jointly with six other security assurance organizations on May 12 & 13, 2009.**

If Yes, how many people attended your chapter's CISM Promotion Event? **The annual meeting had 44 participants. The student conference attracts over 700 employers, faculty, and students in Minnesota and Western Wisconsin. The third annual security conference attracted 635 security professionals and 69 exhibitors.**

Did you run a CISM review course in the past year?

Yes No

Comments: **We held one review class in Fall of 2008.**

If Yes, how many people attended your chapter's CISM review course?

Fall 2008: 7

(Continued on Next Page)

Chapter Event* Summary (attach additional sheets if necessary):

* Does not include Chapter Board meetings

Date	Target Audience (Assurance, Security, IT Governance)	Total attend	CPEs	Topic and Speaker (if multi-track seminar, say "multi-track")	Comments	Member Fee	Non Member Fee	City of event	Online Registration?
June 2, 2008	Assurance	5	4	CISA Review Course – Protection of Information Assets		\$300 course fee	\$350 course fee	St. Paul	No
June 7, 2008	Assurance	5	4	CISA Review Course – Business Continuity and Disaster Recovery		\$300 course fee	\$350 course fee	St. Paul	No
June 9 – 12, 2008	Assurance		40	Canaudit Seminars (multi-track)		\$595 - \$2,295	\$595 - \$2,995	Minneapolis	No
June 23 – 27, 2008	All		38	ISACA Training Week		\$2295	\$2,495	Minneapolis	No
July 30, 2008	All		N/A	First Annual Open Golf Tournament		\$40	\$55	St. Paul	No
August 20, 2008	Security	41	2	Technical Roundtable – Challenges Auditing SoD in SAP		Free	Free	Minneapolis	No
Sept 17, 2008	Assurance	35	2	Technical Roundtable – Success in Marketing Your Internal Audit Program		Free	Free	Minneapolis	No
September 30, 2008	Assurance	70 0	0	11 th Annual Accounting and auditing Student Conference		\$10 for students	\$10 for students	Minneapolis	No
October 8, 2008	Assurance	27	2	IT Audit Leaders Roundtable – The Tao of Everest		Free	Free	Minneapolis	No
October 8, 2008	Assurance	36	2	Technical Roundtable – The Push for "Green IT" and its Impact on the Audit Profession		Free	Free	Minneapolis	No
October 8, 2008	All	30	0	Chapter Social Event		Free	Free	Minneapolis	No
October 20, 2008	Assurance	9	4	CISA Review Course – IS Audit Process		\$300 course fee	\$350 course fee	St. Paul	No
October 27, 2008	Assurance	9	4	CISA Review Course – IT Governance		\$300 course fee	\$350 course fee	St. Paul	No

Date	Target Audience (Assurance, Security, IT Governance)	Total attend	CPEs	Topic and Speaker (if multi-track seminar, say "multi-track")	Comments	Member Fee	Non Member Fee	City of event	Online Registration?
October 27, 2008	Security	7	4	Fall 2008 CISM Review Course		\$300 course fee	\$350 course fee	St. Paul	No
November 3, 2008	Assurance	9	4	CISA Review Course – System and Development Lifecycle – Part 1		\$300 course fee	\$350 course fee	St. Paul	No
November 3, 2008	Security	7	4	Fall 2008 CISM Review Course		\$300 course fee	\$350 course fee	St. Paul	No
November 10, 2008	Assurance	9	4	CISA Review Course – System and Development Lifecycle – Part 2		\$300 course fee	\$350 course fee	St. Paul	No
November 10, 2008	Security	7	4	Fall 2008 CISM Review Course		\$300 course fee	\$350 course fee	St. Paul	No
November 12, 2008	Assurance	30	2	Technical Roundtable – All Privacy Risks Are Not Created Equal		Free	Free	Minneapolis	No
November 17, 2008	Assurance	9	4	CISA Review Course – IT Service Delivery and Support		\$300 course fee	\$350 course fee	St. Paul	No
November 17, 2008	Security	7	4	Fall 2008 CISM Review Course		\$300 course fee	\$350 course fee	St. Paul	No
December 1, 2008	Assurance	9	4	CISA Review Course – Protection of Information Assets		\$300 course fee	\$350 course fee	St. Paul	No
December 1, 2008	Security	7	4	Fall 2008 CISM Review Course		\$300 course fee	\$350 course fee	St. Paul	No
December 8, 2008	Assurance	9	4	CISA Review Course – Business Continuity and Disaster Recovery		\$300 course fee	\$350 course fee	St. Paul	No
December 10, 2008	Assurance	7	2	Technical Roundtable – Reducing the Risk of VOIP		Free	Free	Minneapolis	No
January 14, 2009	Assurance	57	2	IT Audit Leaders Roundtable – Internal Audit’s Role in Responding to Privacy Breaches		Free	Free	Minneapolis	No

Date	Target Audience (Assurance, Security, IT Governance)	Total attend	CPEs	Topic and Speaker (if multi-track seminar, say "multi-track")	Comments	Member Fee	Non Member Fee	City of event	Online Registration?
January 14, 2009	Assurance	76	2	Technical Roundtable – Forensics		Free	Free	Minneapolis	No
January 14, 2009	All	63	0	Chapter Social Event		Free	Free	Minneapolis	No
February 9 – 12, 2009	All	24	16 each	Educational Seminars – Auditing Wireless Security & Computer Forensics		\$400 per session, \$700 for both	\$500 per session, \$900 for both	Minneapolis	No
February 19, 2009	All	49	2	Technical Roundtable - Casino Compliance – Surveillance / Security / Internal Audit, Working Together		Free	Free	Minneapolis	No
March 18, 2009	Assurance	40	2	Technical Roundtable – Emerging Risk, Cloud Computing – An Auditor's Perspective		Free	Free	Minneapolis	No
April 6 – 9, 2009	Assurance	49	15 each	Educational Seminars – How to Perform an Integrated Audit and PCI Compliance		\$400 per session, \$700 for both	\$500 per session, \$900 for both	Minneapolis	Yes
April 28, 2009	Assurance	68	2	Technical Roundtable – The Future of Third Party Assurance		Free	Free	Minneapolis	Yes
April 30, 2009	Assurance	9	4	CISA Review Course – IS Audit Process		\$300 course fee	\$350 course fee	St. Paul	Yes
May 7, 2009	Assurance	9	N4	CISA Review Course – IT Governance		\$300 course fee	\$350 course fee	St. Paul	Yes
May 11, 2009	All		0	Secure 360 Pre-Conference (multi-track)		\$25 - \$549	\$25 - %549	St. Paul	Yes
May 12-13, 2009	All	63 5	0	Secure 360 Conference (multi-track)		\$249	\$299	St. Paul	Yes
May 14, 2009	Assurance	9	4	CISA Review Course – System and Development Lifecycle – Part 1		\$300 course fee	\$350 course fee	St. Paul	Yes
May 21, 2009	Assurance	19	0	IT Audit Leaders Lunch		Free	Fee	Stillwater	Yes

Date	Target Audience (Assurance, Security, IT Governance)	Total attend	CPEs	Topic and Speaker (if multi-track seminar, say "multi-track")	Comments	Member Fee	Non Member Fee	City of event	Online Registration?
May 21, 2009	All	36	0	Annual Meeting		Free	Free	Stillwater	Yes
May 21, 2009	Assurance	71	2 each	Technical Roundtables – IT Governance & Virtualization Security		Free	Free	Stillwater	Yes
May 21, 2009	All	36	0	Social Event – Boat Cruise		\$30	N/A	Stillwater	Yes
May 21, 2009	Assurance	9	4	CISA Review Course – System Development Lifecycle – Part 2		\$300 course fee	\$350 course fee	St. Paul	Yes
May 28, 2009	Assurance	9	4	CISA Review Course – IT Service Support and Delivery		\$300 course fee	\$350 course fee	St. Paul	Yes

Chapter Involvement

		Comments/Details
Is your chapter involved in the CISA Item Writing Program?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	
Is your chapter involved in the CISM Item Writing Program?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	
Does your chapter contribute funds to ITGI?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	\$3,000 was given at the May 2-3, 2009 North American Leadership Conference.
Is your chapter involved in other research work?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	
Is your chapter involved in actively promoting student membership?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	At the Annual Accounting & Auditing Student Conference. Also, we have presented to individual classes at the request of the instructor. Students are encouraged to attend the Secure360 conference.
Is your chapter involved in the University Advocate Program?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	We have one advocate, but plan to pursue this further.
Does your chapter have new member and retention initiatives in place?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Personal letters are sent to all new members and they are specifically invited to all events. We hold three social events per year and survey prior members that did not renew their membership.

Chapter Involvement with Other Professional Organizations

Organization	Details
Accountancy Body	Work with CPA, MAPA, IMA GFOA, and IIA to hold an annual Accounting & Auditing Student Conference.
Computer Society	
DPMA	
IIA	IIA Twin Cities Chapter – Hold an annual Accounting & Auditing Student Conference, have an agreement with them permitting their members to attend ISACA Technology roundtables
ISSA	In conjunction with the Minnesota ISSA, we partnered with BCPA, CSITS, InfraGard, OWASP, TCPN to jointly sponsor the Secure360° conference in St. Paul, MN.
Other	

How has the chapter become more valuable to its members?

1	Provided a variety of relevant roundtables and training seminars to technical members.
2	Provided a variety of relevant audit leader roundtables to audit management.
3	Provided social events, including a golf outing, which encouraged networking among our members.
4	Improved our website to provide our members with more useful and timely information. This improvement was highlighted by the bronze website award received by the chapter in April of 2009.

5	Continued implementation of the Balanced Scorecard to improve chapter operations and support member needs.
6	Implemented the ability to accept credit card payments for registrations which had the effect of increasing attendance at chapter events.

Please list any special achievements of which your chapter is especially proud:

We are very proud of the Bronze Award our chapter received for our web site.

We also our proud of our partnerships with other organizations alluded to above that reach out to the academic and business communities:

- Our 10th Annual Student Conference was hosted jointly with five other professional organizations (IIA, CPA Society, IMA, MAPA and GFOA). Over 660 students, 65 exhibitors, and 40 faculty members attended to share information about career opportunities in accounting and auditing.
- We also joined with six other professional organizations (ISSA, CSITS, BCPA, InfraGard, OWASP, TCPN) to host our annual Secure 360 conference which attracted 635 professionals and 69 sponsors such as IBM, Oracle and EMC. This conference served business, government and educational professionals by hosting a national quality conference, enhancing the knowledge, skills and professional network of the security community in the Upper Midwest.

In addition, 2009 marked the first year in which we developed the capability to accept credit card payments from our members for educational events. This will greatly streamline our chapter operations, and preliminary indications are that it has also increased attendance at our events.

Please list any significant issues within your chapter that you would like to bring to the attention of ISACA International: None

Please indicate any financial marketing assistance received from ISACA Headquarters in the past year:

We received \$2,628 in marketing assistance this year and have received approval for assistance in the 2008-2009 fiscal year.

Please list any recommendations or questions your chapter has for ISACA International / Key Boards:

1. Send membership dues income to chapters via ACH instead of wire transfer. It's cheaper.
2. Provide centralized web hosting for the chapters, similar to what the IIA does.
3. Provide a standard Chapter Management System (CMS) for managing the chapter (tracking membership, events, accounting, etc.).
4. Ideally, would like to be able to electronically take the CISA/CISM exams at a certified testing facility (i.e., Sylvan Learning Center) at any time and get the results immediately.

Please attach the following pages to completely answer the items on this form:

- | | |
|--|--|
| 1) Latest auditor's certificate or verification/ inspection of accounts letter | 3) Newsletter (if produced) |
| 2) Financial relationship details (if any) | 4) Any additional pages of information |

Respectfully submitted by:

Name: Tom Tisthammer

Chapter Position Held: Director, Immediate Past President

Date: August 5, 2009

For ISACA International use only:

Date received: